

INVARIANT OF BINARY FORMS

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ABSTRACT. Basic invariants of binary forms over \mathbb{C} up to degree 6 (and lower degrees) were constructed by Clebsch and Bolza in the 19-th century using complicated symbolic calculations. Igusa extended this to algebraically closed fields of any characteristic using difficult techniques of algebraic geometry. In this paper a simple proof is supplied that works in characteristic $p > 5$ and uses some concepts of invariant theory developed by Hilbert (in characteristic 0) and Mumford, Haboush et al. in positive characteristic. Further the analogue for pairs of binary cubics is also treated.

1. INTRODUCTION

Let k be an algebraically closed field of characteristic not equal to 2. A binary form of degree d is a homogeneous polynomial $f(X, Y)$ of degree d in two variables over k . Let V_d be the k -vector space of binary forms of degree d . The group $GL_2(k)$ of invertible 2×2 matrices over k acts on V_d by coordinate change. Many problems in algebra involve properties of binary forms which are invariant under these coordinate changes. In particular, any genus 2 curve over k has a projective equation of the form $Z^2Y^4 = f(X, Y)$, where f is a binary sextic (= binary form of degree 6) of non-zero discriminant. Two such curves are isomorphic if and only if the corresponding sextics are conjugate under $GL_2(k)$. Therefore the moduli space \mathcal{M}_2 of genus 2 curves is the affine variety whose coordinate ring is the ring of $GL_2(k)$ -invariants in the coordinate ring of the set of elements of V_6 with non-zero discriminant.

Generators for this and similar invariant rings in lower degree were constructed by Clebsch, Bolza and others in the last century using complicated calculations. For the case of sextics, Igusa [Ig] extended this to algebraically closed fields of any characteristic using difficult techniques of algebraic geometry. Igusa's paper is very difficult to read and has some proofs only sketched. It is mostly the case of characteristic 2 which complicates his paper.

Hilbert [Hi] developed some general, purely algebraic tools (see Theorem 1 and Theorem 2 below) in invariant theory. Combined with the linear reductivity of $GL_2(k)$ in characteristic 0, this permits a more conceptual proof of the results of Clebsch [2] and Bolza [Bo]. After Igusa's paper appeared, the concept of geometric reductivity was developed by Mumford [Mu1], Haboush [Ha] and others. In particular it was proved that reductive algebraic groups in any characteristic are geometrically reductive. This allows application of Hilbert's methods in any characteristic. For example, Hilbert's finiteness theorem (see Theorem 1 below) was extended to any characteristic by Nagata [Na]. Here we give a proof of the Clebsch-Bolza-Igusa result along those lines. The proof is elementary in characteristic 0, and extends to characteristic $p > 5$ by quoting the respective results on geometric reductivity. This is contained in sections 2 and 3.

In section 4 we treat the analogue for invariants of pairs of binary cubics. To our knowledge this has not been worked out before.

2. INVARIANTS OF BINARY FORMS

In this chapter we define the action of $GL_2(k)$ on binary forms and discuss the basic notions of their invariants. Throughout this chapter k denotes an algebraically closed field.

2.1. Action of $GL_2(k)$ on binary forms. Let $k[X, Y]$ be the polynomial ring in two variables and let V_d denote the $d + 1$ -dimensional subspace of $k[X, Y]$ consisting of homogeneous polynomials.

$$(1) \quad f(X, Y) = a_0X^d + a_1X^{d-1}Y + \cdots + a_dY^d$$

of degree d . Elements in V_d are called *binary forms* of degree d .

We let $GL_2(k)$ act as a group of automorphisms on $k[X, Y]$ as follows: if

$$g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL_2(k)$$

then

$$(2) \quad \begin{aligned} g(X) &= aX + bY \\ g(Y) &= cX + dY \end{aligned}$$

This action of $GL_2(k)$ leaves V_d invariant and acts irreducibly on V_d .

Remark 2.1. It is well known that $SL_2(k)$ leaves a bilinear form (unique up to scalar multiples) on V_d invariant. This form is symmetric if d is even and skew symmetric if d is odd.

Let A_0, A_1, \dots, A_d be coordinate functions on V_d . Then the coordinate ring of V_d can be identified with $k[A_0, \dots, A_d]$. For $I \in k[A_0, \dots, A_d]$ and $g \in GL_2(k)$, define $I^g \in k[A_0, \dots, A_d]$ as follows

$$(3) \quad I^g(f) = I(g(f))$$

for all $f \in V_d$. Then $I^{gh} = (I^g)^h$ and Eq. (3) defines an action of $GL_2(k)$ on $k[A_0, \dots, A_d]$.

Definition 2.2. Let \mathcal{R}_d be the ring of $SL_2(k)$ invariants in $k[A_0, \dots, A_d]$, i.e., the ring of all $I \in k[A_0, \dots, A_d]$ with $I^g = I$ for all $g \in SL_2(k)$.

Note that if I is an invariant, so are all its homogeneous components. So \mathcal{R}_d is graded by the usual degree function on $k[A_0, \dots, A_d]$.

Since k is algebraically closed, the binary form $f(X, Y)$ in Eq. (1) can be factored as

$$(4) \quad f(X, Y) = (y_1X - x_1Y) \cdots (y_dX - x_dY) = \prod_{1 \leq i \leq d} \det \begin{pmatrix} X & x_i \\ Y & y_i \end{pmatrix}$$

The points with homogeneous coordinates $(x_i, y_i) \in \mathbb{P}^1$ are called the roots of the binary form (1). Thus for $g \in GL_2(k)$ we have

$$g(f(X, Y)) = (\det(g))^d (y'_1X - x'_1Y) \cdots (y'_dX - x'_dY),$$

where

$$(5) \quad \begin{pmatrix} x'_i \\ y'_i \end{pmatrix} = g^{-1} \begin{pmatrix} x_i \\ y_i \end{pmatrix}.$$

2.2. The Null Cone of V_d .

Definition 2.3. The null cone N_d of V_d is the zero set of all homogeneous elements in \mathcal{R}_d of positive degree

Lemma 2.4. Let $\text{char}(k) = 0$ and Ω_s be the subspace of $k[A_0, \dots, A_d]$ consisting of homogeneous elements of degree s . Then there is a k -linear map $R : k[A_0, \dots, A_d] \rightarrow \mathcal{R}_d$ with the following properties:

- (a) $R(\Omega_s) \subseteq \Omega_s$ for all s
- (b) $R(I) = I$ for all $I \in \mathcal{R}_d$
- (c) $R(g(f)) = R(f)$ for all $f \in k[A_0, \dots, A_d]$

Proof. Ω_s is a polynomial module of degree s for $SL_2(k)$. Since $SL_2(k)$ is linearly reductive in $\text{char}(k) = 0$, there exists a $SL_2(k)$ -invariant subspace Λ_s of Ω_s such that $\Omega_s = (\Omega_s \cap \mathcal{R}_d) \oplus \Lambda_s$. Define $R : k[A_0, \dots, A_d] \rightarrow \mathcal{R}_d$ as $R(\Lambda_s) = 0$ and $R|_{\Omega_s \cap \mathcal{R}_d} = \text{id}$. Then R is k -linear and the rest of the proof is clear from the definition of R . \square

The map R is called the **Reynold's operator**.

Lemma 2.5. Suppose $\text{char}(k) = 0$. Then every maximal ideal in \mathcal{R}_d is contained in a maximal ideal of $k[A_0, \dots, A_d]$.

Proof. If \mathcal{I} is a maximal ideal in \mathcal{R}_d which generates the unit ideal of $k[A_0, \dots, A_d]$, then there exist $m_1, \dots, m_t \in \mathcal{I}$ and $f_1, f_2, \dots, f_t \in k[A_0, \dots, A_d]$ such that

$$1 = m_1 f_1 + \dots + m_t f_t$$

Applying the Reynold's operator to the above equation we get

$$1 = m_1 R(f_1) + \dots + m_t R(f_t)$$

But $R(f_i) \in \mathcal{R}_d$ for all i . This implies $1 \in \mathcal{I}$, a contradiction. \square

Theorem 2.6. (Hilbert's Finiteness Theorem) Suppose $\text{char}(k) = 0$. Then \mathcal{R}_d is finitely generated over k .

Proof. Let \mathcal{I}_0 be the ideal in $k[A_0, \dots, A_d]$ generated by all homogeneous invariants of positive degree. Because $k[A_0, \dots, A_d]$ is Noetherian, there exist finitely many homogeneous elements J_1, \dots, J_r in \mathcal{R}_d such that $\mathcal{I}_0 = (J_1, \dots, J_r)$. We prove $\mathcal{R}_d = k[J_1, \dots, J_r]$. Let $J \in \mathcal{R}_d$ be homogeneous of degree d . We prove $J \in k[J_1, \dots, J_r]$ using induction on d . If $d = 0$, then $J \in k \subset k[J_1, \dots, J_r]$. If $d > 0$, then

$$(6) \quad J = f_1 J_1 + \dots + f_r J_r$$

with $f_i \in k[A_0, \dots, A_d]$ homogeneous and $\deg(f_i) < d$ for all i . Applying the Reynold's operator to Eq. (6) we have

$$J = R(f_1)J_1 + \dots + R(f_r)J_r$$

then by Lemma 1 $R(f_i)$ is a homogeneous element in \mathcal{R}_d with $\deg(R(f_i)) < d$ for all i and hence by induction we have $R(f_i) \in k[J_1, \dots, J_r]$ for all i . Thus $J \in k[J_1, \dots, J_r]$. \square

If k is of arbitrary characteristic, then $SL_2(k)$ is geometrically reductive, which is a weakening of linear reductivity; see Haboush [Ha]. It suffices to prove Hilbert's finiteness theorem in any characteristic; see Nagata [Na]. The following theorem is also due to Hilbert.

Theorem 2.7. *Let I_1, I_2, \dots, I_s be homogeneous elements in \mathcal{R}_d whose common zero set equals the null cone \mathcal{N}_d . Then \mathcal{R}_d is finitely generated as a module over $k[I_1, \dots, I_s]$.*

Proof. (i) $\text{char}(k) = 0$: By Theorem 2.6 we have $\mathcal{R}_d = k[J_1, J_2, \dots, J_r]$ for some homogeneous invariants J_1, \dots, J_r . Let \mathcal{I}_0 be the maximal ideal in \mathcal{R}_d generated by all homogeneous elements in \mathcal{R}_d of positive degree. Then the theorem follows if I_1, \dots, I_s generate an ideal \mathcal{I} in \mathcal{R}_d with $\text{rad}(\mathcal{I}) = \mathcal{I}_0$. For if this is the case, we have an integer q such that

$$(7) \quad J_i^q \in \mathcal{I}, \quad \text{for all } i$$

Set $S := \{J_1^{i_1} J_2^{i_2} \dots J_r^{i_r} \mid 0 \leq i_1, \dots, i_r < q\}$. Let \mathcal{M} be the $k[I_1, \dots, I_s]$ -submodule in \mathcal{R}_d generated by S . We prove $\mathcal{R}_d = \mathcal{M}$. Let $J \in \mathcal{R}_d$ be homogeneous. Then $J = J' + J''$ where $J' \in \mathcal{M}$, J'' is a k -linear combination of $J_1^{i_1} J_2^{i_2} \dots J_r^{i_r}$ with at least one $i_v \geq q$ and $\text{deg}(J) = \text{deg}(J') = \text{deg}(J'')$. Hence Eq. (7) implies $J'' \in \mathcal{I}$ and so we have

$$J'' = f_1 I_1 + \dots + f_s I_s$$

where $f_i \in \mathcal{R}_d$ for all i . Then $\text{deg}(f_i) < \text{deg}(J'') = \text{deg}(J)$ for all i . Now by induction on degree of J we may assume $f_i \in \mathcal{M}$ for all i . This implies $J'' \in \mathcal{M}$ and hence $J \in \mathcal{M}$. Therefore $\mathcal{M} = \mathcal{R}_d$. So it only remains to prove $\text{rad}(\mathcal{I}) = \mathcal{I}_0$. This follows from Hilbert's Nullstellensatz and the following claim.

Claim: \mathcal{I}_0 is the only maximal ideal containing I_1, \dots, I_s .

Suppose \mathcal{I}_1 is a maximal ideal in \mathcal{R}_d with $I_1, \dots, I_s \in \mathcal{I}_1$. Then from Lemma 2 we know there exists a maximal ideal \mathcal{J} of $k[A_0, \dots, A_d]$ with $\mathcal{I}_1 \subset \mathcal{J}$. The point in V_d corresponding to \mathcal{J} lies on the null cone \mathcal{N}_d because I_1, \dots, I_s vanish on this point. Therefore $\mathcal{I}_0 \subset \mathcal{J}$, by definition of \mathcal{N}_d . Therefore $\mathcal{J} \cap \mathcal{R}_d$ contains both the maximal ideals \mathcal{I}_1 and \mathcal{I}_0 . Hence, $\mathcal{I}_1 = \mathcal{J} \cap \mathcal{R}_d = \mathcal{I}_0$.

(ii) $\text{char}(k) = p$: The same proof works if Lemma 2 holds. Geometrically this means the morphism $\pi : V_d \rightarrow V_d // SL_2(k)$ corresponding to the inclusion $\mathcal{R}_d \subset k[A_0, \dots, A_d]$ is surjective. Here $V_d // SL_2(k)$ denotes the affine variety corresponding to the ring \mathcal{R}_d and is called the *categorical quotient*. π is surjective because $SL_2(k)$ is geometrically reductive. The proof is by reduction modulo p , see Geyer [Ge]. □

3. PROJECTIVE INVARIANCE OF BINARY SEXTICS.

Throughout this section $\text{char}(k) \neq 2, 3, 5$

3.1. Construction of invariants and characterization of multiplicities of the roots. We let

$$(8) \quad \begin{aligned} f(X, Y) &= a_0 X^6 + a_1 X^5 Y + \dots + a_6 Y^6 \\ &= (y_1 X - x_1 Y)(y_2 X - x_2 Y) \dots (y_6 X - x_6 Y) \end{aligned}$$

be an element in V_6 . Set

$$D_{ij} := \begin{pmatrix} x_i & x_j \\ y_i & y_j \end{pmatrix}.$$

For $g \in SL_2(k)$, we have

$$g(f) = (y'_1 X - x'_1 Y) \dots (y'_6 X - x'_6 Y), \quad \text{with} \quad \begin{pmatrix} x'_j \\ y'_j \end{pmatrix} = g^{-1} \begin{pmatrix} x_j \\ y_j \end{pmatrix}.$$

Clearly D_{ij} is invariant under this action of $SL_2(k)$ on \mathbb{P}^1 . Let $\{i, j, k, l, m, n\} = \{1, 2, 3, 4, 5, 6\}$. Treating a_i as variables, we construct the following elements in \mathcal{R}_6 (proof follows).

$$(9) \quad \begin{aligned} I_{10} &= \prod_{i < j} D_{ij}^2 \\ I_2 &= \sum_{i < j, k < l, m < n} D_{ij}^2 D_{kl}^2 D_{mn}^2 \\ I_4 &= (4I_2^2 - B) \\ I_6 &= (8I_2^3 - 160I_2I_4 - C) \end{aligned}$$

where

$$(10) \quad \begin{aligned} B &= \sum_{i < j, j < k, l < m, m < n} D_{ij}^2 D_{jk}^2 D_{kl}^2 D_{lm}^2 D_{mn}^2 D_{nl}^2 \\ C &= \sum_{\substack{i < j, j < k, l < m, m < n \\ i < l', j < m', k < n' \\ l', m', n' \in \{1, m, n\}}} D_{ij}^2 D_{jk}^2 D_{kl}^2 D_{lm}^2 D_{mn}^2 D_{nl}^2 D_{il'}^2 D_{jm'}^2 D_{kn'}^2 \end{aligned}$$

The number of summands in B (resp. C) equals $\frac{\binom{6}{3}}{2!} = 10$ (resp. 60).

Lemma 3.1. I_{2i} are homogeneous elements in \mathcal{R}_6 of degree $2i$, for $i = 1, 2, 3, 5$.

Proof. Each I_{2i} can be written as

$$(y_1 \dots y_6)^{2i} \cdot \tilde{I}_{2i}\left(\frac{x_1}{y_1}, \dots, \frac{x_6}{y_6}\right)$$

with \tilde{I}_{2i} a symmetric polynomial in $\frac{x_1}{y_1}, \frac{x_2}{y_2}, \dots, \frac{x_6}{y_6}$ for $i = 1, 2, 3, 5$. Therefore by the fundamental theorem of elementary symmetric functions we have

$$I_{2i} = a_0^{2i} \cdot f_i\left(\frac{a_1}{a_0}, \dots, \frac{a_6}{a_0}\right),$$

where f_i is a polynomial in 6 variables and hence I_{2i} is a rational function in a_0, \dots, a_6 with denominator a power of a_0 . Switching the roles X and Y we also see that the denominator is a power of a_6 . Thus $I_{2i} \in k[a_0, \dots, a_6]$. Clearly I_{2i} are $SL_2(k)$ -invariants and hence lie in \mathcal{R}_6 . Further, replacing f by cf with $c \in k^*$, multiplies I_{2i} by c^{2i} . Hence, I_{2i} are homogeneous of degree $2i$. \square

Note that I_2 is the $SL_2(k)$ -invariant quadratic form on V_6 (see *Remark 2.1*) and I_{10} is the discriminant of the sextic. I_{10} vanishes if and only if two of the roots coincide. Also note that if for a sextic all its roots are equal, then all the basic invariants vanish. These basic invariants when evaluated on a sextic $f(X, Y) = a_0X^6 + a_1X^5Y + \dots + a_6Y^6$ with a root at $(1, 0)$, i.e., with $a_0 = 0$, take the following form.

$$\begin{aligned}
I_2 &= -20a_1a_5 + 8a_2a_4 - 3a_3^2 \\
I_4 &= -24000a_1^2a_4a_6 + 10000a_1^2a_5^2 + 14400a_1a_3a_2a_6 - 1800a_1a_3^2a_5 - 3200a_1a_4a_2a_5 \\
&\quad + 960a_1a_3a_4^2 - 3840a_2^3a_6 + 960a_2^2a_3a_5 + 256a_2^2a_4^2 - 432a_2a_4a_3^2 + 81a_3^4 \\
(11) \quad I_6 &= 100a_1a_3^4a_5 - 40a_1a_3^3a_4^2 + 6250a_1^3a_3a_6^2 - 160a_2^4a_4a_6 + 60a_2^3a_3^2a_6 \\
&\quad - 40a_2^2a_3^3a_5 - 8a_2^2a_3^2a_4^2 - 2500a_2^2a_1^2a_6^2 + 8a_2a_3^4a_4 - 2500a_1^2a_3a_6a_2a_5 \\
&\quad - 100a_2^4a_5^2 - 24a_2^3a_4^3 - 350a_1a_3^2a_2a_4a_5 + 300a_1a_3a_2^2a_4a_6 + 1000a_2^2a_1a_6a_5 \\
&\quad - 100a_1^2a_4^4 - a_3^6 + 250a_1^2a_3^2a_6a_4 + 250a_1^2a_4^2a_3a_5 - 100a_1a_4^2a_2^2a_5 \\
&\quad + 250a_1a_3a_2^2a_5^2 + 140a_2^3a_4a_3a_5 - 150a_1a_3^3a_2a_6 + 140a_1a_3a_2a_4^3
\end{aligned}$$

Lemma 3.2. *A sextic has a root of multiplicity exactly three if and only if the basic invariants take the form*

$$(12) \quad I_2 = 3r^2, \quad I_4 = 81r^4, \quad I_6 = r^6, \quad I_{10} = 0.$$

for some $r \neq 0$.

Proof. Let $f(X, Y) = a_0X^6 + a_1X^5Y + \dots + a_6Y^6$ be a sextic with triple root. Let the triple root be at $(1, 0)$. Then $a_0 = a_1 = a_2 = 0$. Set $a_3 = r$. Then I_{2i} for $i = 1, 2, 3$ take the form mentioned in the lemma. Conversely assume Eq. (12). Since $I_{10} = 0$, the sextic has a multiple root. Since $I_6 \neq 0$, there is at least one more root. We assume the multiple root is at $(1, 0)$ and other root is $(0, 1)$. Then the sextic takes the form

$$a_2X^4Y^2 + a_3X^3Y^3 + a_4X^2Y^4 + a_5XY^5$$

and Eq. (12) becomes

$$\begin{aligned}
(13) \quad & -8a_2a_4 + 3a_3^2 = 3r^2 \\
& 960a_2^2a_3a_5 + 256a_2^2a_4^2 - 432a_2a_4a_3^2 + 81a_3^4 = 81r^4 \\
& 40a_2^2a_3^3a_5 + 8a_2^2a_3^2a_4^2 - 8a_2a_3^4a_4 + 24a_2^3a_4^3 + 100a_2^4a_5^2 - 140a_2^3a_4a_3a_5 + a_3^6 = r^6
\end{aligned}$$

Now eliminating a_4 from Eq. (13), we have,

$$2^6a_2^2a_3a_5 = 3(a_3^2 - r^2)^2 \quad \text{and} \quad 2^9a_2^4a_5^2 = (a_3^2 - r^2)^3.$$

Eliminating a_2 and a_5 from these equations we get

$$(a_3^2 - r^2)^3(a_3^2 - (3r)^2) = 0.$$

If $a_3^2 = r^2$, then $a_2a_4 = a_2a_5 = 0$. In this case either $(0, 1)$ or $(1, 0)$ is a triple root. On the other hand if we have $a_3^2 = (3r)^2$, then $a_2a_4 = 3r^2$ and $a_2^2a_5 = r^3$ or $-r^3$. Hence, either $(ra_2^{-1}, 1)$ or $(-ra_2^{-1}, 1)$ is a triple root. \square

Lemma 3.3. *A sextic has a root of multiplicity at least four if and only if the basic invariants vanish simultaneously.*

Proof. Suppose $(1, 0)$ is a root of multiplicity 4. Then $a_1 = a_2 = a_3 = 0$. Therefore $I_2 = I_4 = I_6 = I_{10} = 0$. For the converse, since $I_{10} = 0$, there is a multiple root. If there is no root other than the multiple root, we are done. Otherwise, let the multiple root be at $(1, 0)$ and the other root be at $(0, 1)$. Then as in the previous lemma, the sextic becomes

$$a_2X^4Y^2 + a_3X^3Y^3 + a_4X^2Y^4 + a_5XY^5$$

Now $I_2 = 0$ implies $a_2 a_4 = 2^{-3} \cdot 3 \cdot a_3^2$ and hence $I_4 = 0$ implies

$$a_2^2 a_3 a_5 = 2^{-6} \cdot 3 \cdot a_3^4.$$

Using these two equations in $I_6 = 0$ we find $a_2 a_3 = 0$. Let $a_2 \neq 0$. This implies $a_3 = a_4 = a_5 = 0$ and the sextic has a root of multiplicity four at $(0, 1)$. If $a_2 = 0$, then $I_2 = 0$ implies $a_3 = 0$ and therefore the sextic has a root of multiplicity four at $(1, 0)$. \square

3.2. The Null Cone of V_6 and Algebraic Dependencies.

Lemma 3.4. \mathcal{R}_6 is finitely generated as a module over $k[I_2, I_4, I_6, I_{10}]$.

Proof. By Theorem 2.7 we only have to prove $\mathcal{N}_6 = V(I_2, I_4, I_6, I_{10})$. For $\lambda \in k^*$, set $g(\lambda) := \begin{pmatrix} \lambda^{-1} & 0 \\ 0 & \lambda \end{pmatrix}$. Suppose I_2, I_4, I_6 and I_{10} vanish on a sextic $f \in V_6$. Then we know from Lemma 3.3 that f has a root of multiplicity at least 4. Let this multiple root be $(1, 0)$. Then f is of the form

$$f(X, Y) = (a_4 X^2 + a_5 XY + a_6 Y^2) Y^4.$$

If $I \in \mathcal{R}_6$ is homogeneous of degree $s > 0$, then

$$I(f^{g(\lambda)}) = \lambda^{2s} I_s(a_4 X^2 Y^4 + a_5 \lambda^2 X Y^5 + a_6 \lambda^4 Y^6).$$

Thus $I(f^{g(\lambda)})$ is a polynomial in λ with no constant term. But since I is an $SL_2(k)$ -invariant, we have $I(f^{g(\lambda)}) = I(f)$ for all λ . Thus $I(f) = 0$. This proves the null cone $\mathcal{N}_6 = V(I_2, I_4, I_6, I_{10})$. \square

Remark 3.5. (a) Lemma 3.4 implies I_2, I_4, I_6 and I_{10} are algebraically independent over k because \mathcal{R}_6 is the coordinate ring of the four dimensional variety $V_6 // SL_2(k)$.

(b) The quotient of two homogeneous elements in $k[I_2, I_4, I_6, I_{10}]$ of same degree in A_0, A_1, \dots, A_6 is a $GL_2(k)$ -invariant. In particular the following elements are $GL_2(k)$ -invariants.

$$T_1 := \frac{I_4}{I_2^2}, \quad T_2 := \frac{I_6}{I_2^3}, \quad T_3 := \frac{I_{10}}{I_2^5}$$

(c) Assertion (a) implies T_1, T_2 and T_3 are algebraically independent over k . For if there exists an equation

$$(14) \quad \sum a_{efg} T_1^e T_2^f T_3^g = 0.$$

Multiplying Eq. (14) by I_2^h gives

$$(15) \quad \sum a_{efg} I_4^e I_6^f I_{10}^g I_2^{h-2e-3f-5g} = 0.$$

For large h , Eq. (15) is a nontrivial polynomial relation between I_2, I_4, I_6 and I_{10} . This contradicts (a).

Further define the following

$$(16) \quad \begin{aligned} U_1 &:= \frac{I_2^5}{I_{10}} = \frac{1}{T_3}, & U_2 &:= \frac{I_2^3 I_4}{I_{10}} = \frac{T_1}{T_3}, & U_3 &:= \frac{I_2^2 I_6}{I_{10}} = \frac{T_2}{T_3}, & U_4 &:= \frac{I_4^5}{I_{10}^2} = \frac{T_1^5}{T_3^2} \\ U_5 &:= \frac{I_4 I_6}{I_{10}} = \frac{T_1 T_2}{T_3}, & U_6 &:= \frac{I_6^5}{I_{10}^3} = \frac{T_2^5}{T_3^3}, & U_7 &:= \frac{I_2 I_4^2}{I_{10}} = \frac{T_1^2}{T_3}, & U_8 &:= \frac{I_2 I_6^2}{I_{10}^2} = \frac{T_2^3}{T_3^2}. \end{aligned}$$

Remark 3.6. From the definitions of U_1, U_2 and U_3 it is clear that $k(U_1, U_2, U_3) = k(T_1, T_2, T_3)$. Therefore U_1, U_2 and U_3 are also algebraically independent over k .

Lemma 3.7. *Let a, b, c and d be non-negative integers such that $a + 2b + 3c = 5d$. Then,*

$$\mathbf{m} = \frac{I_2^a \cdot I_4^b \cdot I_6^c}{I_{10}^d} \in k[U_1, U_2, \dots, U_8]$$

Proof. From first column in the above table we see that it is enough to prove the lemma for non-negative integers $a, b, c, d < 5$. The proof is now by inspection. \square

Lemma 3.8. $\mathcal{R} := k[U_1, U_2, U_3, U_4, U_5, U_6, U_7, U_8]$ *is normal*

Proof. Suppose an element J in the field of fractions of \mathcal{R} is integral over \mathcal{R} . Then we have an equation

$$(17) \quad J^n + p_{n-1}(U_1, \dots, U_8)J^{n-1} + \dots + p_0(U_1, \dots, U_8) = 0$$

where p_i is a polynomial in 8 variables over k . Let e be a positive integer such that $I_{10}^e p_i \in k[I_2, I_4, I_6, I_{10}]$ for all i . Then multiplying Eq. (17) by I_{10}^{ne} , we see that $I_{10}^e J$ is integral over $k[I_2, I_4, I_6, I_{10}]$. By Remark 2 (a) we know that $k[I_2, I_4, I_6, I_{10}]$ is a polynomial ring. Also the field of fractions of \mathcal{R} is contained in $k(I_2, I_4, I_6, I_{10})$. Therefore $I_{10}^e J \in k[I_2, I_4, I_6, I_{10}]$. Since $I_{10}^e J$ is a homogeneous element of degree $10e$ in $k[A_0, \dots, A_6]$, J is a k -linear combination of elements of the form \mathbf{m} in Lemma 3.7. Therefore $J \in \mathcal{R}$. Hence the claim. \square

3.3. The Field of Invariants of $GL_2(k)$ on $k(A_0, \dots, A_6)$. Let K denote the invariant field under the $GL_2(k)$ action on $k(A_0, \dots, A_6)$.

Theorem 3.9. *The field K of $GL_2(k)$ invariants in $k(A_0, \dots, A_6)$ is a rational functional field, namely $K = k(T_1, T_2, T_3) = k(U_1, U_2, U_3)$.*

Remark 3.6 implies we only have to show $K = k(T_1, T_2, T_3)$. The proof occupies the remainder of this section.

Remark 3.10. If $\frac{R}{S} \in K$ with R and S coprime polynomials, then $\frac{R}{S} = \frac{R^g}{S^g}$ for every $g \in GL_2(k)$. Since R and S are coprime we have $R = c_g R^g$ and $S = c_g S^g$ with $c_g \in k^*$ for every $g \in GL_2(k)$. Hence R and S are homogeneous of same degree. The map $g \mapsto c_g$ is a group homomorphism $GL_2(k) \rightarrow k^*$. Since $SL_2(k)$ is a perfect group, it is in its kernel. Thus $R, S \in \mathcal{R}_6$.

We introduce the following notations.

$$(18) \quad \begin{aligned} \mathcal{U}^{(6)} &:= \{(p_1, p_2, \dots, p_6) : p_i \in \mathbb{P}^1, p_i \neq p_j \forall i, j\} \\ \mathcal{A} &:= \{f \in V_6 : I_{10}(f) \neq 0\} \\ \mathcal{C} &:= \{(0, 1, \infty, c_1, c_2, c_3) : c_i \in k - \{0, 1\}, c_i \neq c_j \forall i, j\} \subseteq \mathcal{U}^{(6)} \\ \mathcal{B} &:= \{f = XY(X - Y)f_3 : f_3 = X^3 - b_1X^2Y + b_2XY^2 - b_3Y^3 = \\ &\quad (X - c_1Y)(X - c_2Y)(X - c_3Y), (0, 1, \infty, c_1, c_2, c_3) \in \mathcal{C}\} \end{aligned}$$

Then we have $k(\mathcal{B}) = k(B_1, B_2, B_3)$ where B_i is the function mapping $XY(X - Y)(X^3 - b_1X^2Y + b_2XY^2 - b_3Y^3)$ to b_i . Similarly $k(\mathcal{C}) = k(C_1, C_2, C_3)$.

S_6 acts on $\mathcal{U}^{(6)}$ by $(p_1, p_2, \dots, p_6) \mapsto (p_{\tau(1)}, \dots, p_{\tau(6)})$ and $GL_2(k)$ acts on $\mathcal{U}^{(6)}$ by $(p_1, p_2, \dots, p_6) \mapsto (g^{-1}(p_1), \dots, g^{-1}(p_6))$. These actions commute. This induces an action of S_6 on $\mathcal{U}^{(6)} / PGL_2(k)$. Each $PGL_2(k)$ orbit meets \mathcal{C} in precisely one point. Therefore $\mathcal{U}^{(6)} / PGL_2(k) \cong \mathcal{C}$ and we have an action of S_6 on \mathcal{C} and hence on $k(C_1, C_2, C_3)$. If τ_{ij} is the transposition (i, j) , the S_6 action on $k(C_1, C_2, C_3)$ is explicitly given as follows.

- (a). $(C_1, C_2, C_3) \xrightarrow{\tau_{12}} (1 - C_1, 1 - C_2, 1 - C_3)$
- (b). $(C_1, C_2, C_3) \xrightarrow{\tau_{23}} (\frac{C_1}{C_1-1}, \frac{C_2}{C_2-1}, \frac{C_3}{C_3-1})$.
- (c). $(C_1, C_2, C_3) \xrightarrow{\tau_{34}} (1 - C_1, \frac{C_2(1-C_1)}{C_2-C_1}, \frac{C_3(1-C_1)}{C_3-C_1})$.
- (d). $(C_1, C_2, C_3) \xrightarrow{\tau_{45}} (C_2, C_1, C_3)$.
- (e). $(C_1, C_2, C_3) \xrightarrow{\tau_{56}} (C_1, C_3, C_2)$.

Let F denote the fixed field of S_6 action on $k(C_1, C_2, C_3)$. The natural map $\mathcal{C} \rightarrow \mathcal{B}$ given by

$$(0, 1, \infty, c_1, c_2, c_3) \mapsto XY(X-Y)(X-c_1Y)(X-c_2Y)(X-c_3Y)$$

induces a Galois extension $C(C_1, C_2, C_3) / k(B_1, B_2, B_3)$ with Galois group $S_3 < S_6$, where S_3 is embedded as the subgroup of S_6 permuting the letters 4, 5, 6 and fixing 1, 2, 3.

$$\begin{array}{c} k(C_1, C_2, C_3) \\ \downarrow S_3 \\ k(B_1, B_2, B_3) \\ \downarrow 120 \\ F \end{array}$$

Lemma 3.11. *The inclusion $\mathcal{B} \subset V_6$ induces an embedding*

$$K \subseteq F \subset k(B_1, B_2, B_3).$$

Proof. $\mathcal{B} \subset \mathcal{A}$ and every element in \mathcal{A} is $GL_2(k)$ -conjugate to a unique element in \mathcal{B} . Recall by Remark 3.10, if $\frac{R}{S} \in K$ with R and S coprime polynomials, then $S = c_g S^g$ for all $g \in GL_2(k)$. If S vanishes on \mathcal{B} , it also vanishes on \mathcal{A} . But \mathcal{A} is open in k^6 and so $S \equiv 0$ which is a contradiction. Therefore S does not vanish on \mathcal{B} and hence the restriction map $K \rightarrow k(\mathcal{B})$ is well defined. Thus we have $K \subset k(\mathcal{B}) \subset k(\mathcal{C})$. Let $I \in K$ and \bar{I} its image in $k(\mathcal{C}) = k(C_1, C_2, C_3)$. Denote $p = (0, 1, \infty, c_1, c_2, c_3) \in \mathcal{U}^{(6)}$ by (p_1, \dots, p_6) . For $\tau \in S_6$ we have

$$\begin{aligned} \bar{I}(p^\tau) &= \bar{I}(g(p_{\tau(1)}), \dots, g(p_{\tau(6)})) \\ &= I((X - g(p_{\tau(1)})Y) \dots (X - g(p_{\tau(6)})Y)) \\ &= I((X - p_{\tau(1)}Y) \dots (X - p_{\tau(6)}Y)) = \bar{I}(p) \end{aligned}$$

for some $g \in GL_2(k)$ and so the lemma follows. \square

Let us now see how the elements T_i of K embed in $k(B_1, B_2, B_3)$. Evaluating I_{2i} on sextics of the form $XY(X-Y)(b_0X^3 - b_1X^2Y + b_2XY^2 - b_3Y^3)$ yields the following homogeneous polynomials J_{2i} in B_0, \dots, B_3 of degree $2i$.

(19)

$$\begin{aligned}
J_2 &= -12B_0B_3 + 8B_0B_2 + 2B_1B_2 + 8B_1B_3 - 3B_1^2 - 3B_2^2 \\
J_4 &= -432B_0B_2B_1^2 + 608B_3B_1^2B_2 - 312B_0B_3B_1^2 - 1728B_0^2B_3B_2 + 960B_0B_2B_3^2 - 432B_1B_3B_2^2 - 312B_0B_3B_2^2 - 1728B_0B_3^2B_1 + 608B_0B_1B_2^2 \\
&\quad + 960B_3B_0^2B_1 - 2800B_0B_3B_1B_2 + 7056B_0^2B_3^2 + 528B_0B_2^3 + 528B_3B_1^3 + 256B_0^2B_2^2 - 122B_1^2B_2^2 + 256B_1^2B_3^2 - 108B_1^3B_2 - 108B_1B_2^3 + 81B_1^4 + 81B_2^4 \\
J_6 &= -36B_3B_1^3B_2^2 + 118B_0^2B_3^2B_2 - 24B_0B_1^2B_2^2 - 8B_1^2B_2^2B_3^2 - 36B_0B_1^2B_2^2 - 24B_0^2B_3^2 - 124B_0^2B_3^2 - 24B_1^3B_3^2 - 136B_3B_0^2B_3^2 + 8B_1B_2^3B_3 + 52B_1^2B_2^2B_3^2 \\
&\quad + 36B_0B_1B_2^4 - 32B_3B_0^2B_2^2 - 32B_0B_1^2B_3^2 - 100B_2^2B_0^2 - B_1^6 - B_2^6 - 40B_0B_2^2B_3^2 - 10B_0^2B_2^2B_3^2 + 28B_0B_2^4B_3 + 8B_0B_1^4B_2 - 8B_0^2B_1^2B_2^2 - 38B_0^2B_2^2B_3^2 \\
&\quad + 140B_3B_0^2B_2B_1 - 100B_0^2B_2^4 + 36B_3B_1^4B_2 - 136B_0B_1^3B_3^2 - 38B_0^2B_1^2B_3^2 - 40B_3B_0^2B_1^2 + 52B_0^2B_1B_2^2 - 10B_0^2B_2B_3^2 + 118B_0^2B_1B_3^2 - 24B_3B_1^2B_2^2 + 28B_3B_0B_1^4 \\
&\quad - 32B_0B_2^5 - 32B_3B_1^5 + 2B_1^5B_2 + 9B_1^4B_2^2 - 12B_1^3B_2^2 + 9B_1^2B_2^2 + 2B_1B_2^5 + 32B_0^2B_2^4 + 32B_1^4B_2^2 + 150B_0B_1^3B_2B_3 - 72B_0B_1^2B_2^2B_3 - 178B_0B_1^2B_2B_3^2 \\
&\quad + 150B_0B_1B_2^2B_3 - 66B_0B_1B_2^2B_3^2 - 66B_3B_0^2B_1^2B_2 - 178B_3B_0^2B_1B_2^2 + 508B_0^2B_1B_2B_3^2 + 140B_0B_1B_2B_3^3 \\
J_{10} &= -37540800B_0^4B_3^2B_1 - 37540800B_0^5B_3^2B_2 + 148500B_0^2B_3^2B_2^2 + 148500B_0^3B_3^2B_1^2 - 4028400B_0^4B_3^2B_1^2 - 860400B_0^2B_3^2B_1^2 + 5308200B_0^3B_3^2B_1^2 \\
&\quad + 6696000B_0^5B_3^2B_1 + 6696000B_0^5B_3^2B_2 + 5308200B_3^2B_0^2B_2^2 - 860400B_3^2B_0^2B_2^2 - 27000B_0^2B_3^2B_1^2 - 27000B_0^2B_3^2B_2^2 - 25600B_0^2B_3^2B_1^2 - 100800B_0^3B_3^2B_1^2 \\
&\quad - 44287200B_0^4B_3^2B_1B_2 - 100800B_0^5B_3^2B_2^2 - 25600B_0^2B_3^2B_2^2 - 27000B_0^2B_3^2B_1^2 - 27000B_0^4B_3^2B_1^2 - 4028400B_0^4B_3^2B_2^2 - 1854600B_0^3B_3^2B_1^2B_2^2 \\
&\quad - 543600B_0^3B_3^2B_1B_2^2 + 7719000B_0^3B_3^2B_1^2B_2 + 7719000B_0^4B_3^2B_1B_2^2 - 19800B_0^2B_3^2B_1^2B_2^2 - 543600B_0^3B_3^2B_1^2B_2 + 72600B_0^3B_3^2B_1^2B_2^2 + 142200B_0^3B_3^2B_1B_2^2 \\
&\quad - 1225800B_0^2B_3^2B_1B_2^2 + 142200B_0^2B_3^2B_1^2B_2 + 351734400B_0^5B_3^2 + 72600B_0^2B_3^2B_1^2B_2^2 - 19800B_0^2B_3^2B_1^2B_2^2 + 146400B_0^4B_3^2B_1B_2^2 + 146400B_0^2B_3^2B_1^2B_2 \\
&\quad - 18400B_0^2B_3^2B_1^2B_2^2 + 3600B_0^4B_3^2B_1^2B_2^2 + 3600B_0^4B_3^2B_1^2B_2^2 + 3600B_0^2B_3^2B_1^2B_2^2 + 3600B_0^2B_3^2B_1^2B_2^2 - 1225800B_0^4B_3^2B_1^2B_2 \\
&\quad - 1080000B_0^6B_3^2 + 1080000B_0^6B_3^2 + 216000B_0^3B_3^2B_1B_2 + 216000B_0^5B_3^2B_2B_1
\end{aligned}$$

Now the T_i embed in $k(B_1, B_2, B_3)$ as follows

$$(20) \quad T_1 = \frac{J_4(1, B_1, B_2, B_3)}{J_2^2(1, B_1, B_2, B_3)}, \quad T_2 = \frac{J_6(1, B_1, B_2, B_3)}{J_2^3(1, B_1, B_2, B_3)}, \quad T_3 = \frac{J_{10}(1, B_1, B_2, B_3)}{J_2^5(1, B_1, B_2, B_3)}$$

Since T_1, T_2 and T_3 are independent variables over k and $k(T_1, T_2, T_3, B_i) \subseteq k(B_1, B_2, B_3)$ for $i = 1, 2$ and 3 , it follows $k(B_1, B_2, B_3) / k(T_1, T_2, T_3)$ is a finite algebraic extension. Also note $k(C_1, C_2, C_3) / F$ is Galois with group S_6 and $[k(B_1, B_2, B_3) : F] = 120$.

Proof of Theorem 3.9. We know $k(T_1, T_2, T_3) \subseteq K \subseteq F$. The claim follows if $F = k(T_1, T_2, T_3)$. Also $N := [k(B_1, B_2, B_3) : k(T_1, T_2, T_3)]$ is a multiple of $[k(B_1, B_2, B_3) : F] = 120$. Therefore, if $N = 120$, we are done. Let Ω be the algebraic closure of $k(T_1, T_2, T_3)$. Then N is the number of embeddings α of $k(B_1, B_2, B_3)$ into Ω with $\alpha|_{k(T_1, T_2, T_3)} = id$. Therefore, the tuples

$$(1, \alpha(B_1), \alpha(B_2), \alpha(B_3))$$

constitute N distinct projective solutions for the following system of homogeneous equations in S_0, S_1, S_2, S_3 .

$$\begin{aligned}
T_1 J_2^2(S_0, S_1, S_2, S_3) - J_4(S_0, S_1, S_2, S_3) &= 0 \\
T_2 J_2^3(S_0, S_1, S_2, S_3) - J_6(S_0, S_1, S_2, S_3) &= 0 \\
T_3 J_2^5(S_0, S_1, S_2, S_3) - J_{10}(S_0, S_1, S_2, S_3) &= 0
\end{aligned}$$

Besides these N solutions there is the additional solution $(0, 0, 0, 1)$ by Lemma 3.3. Recall J_{2i} are homogeneous polynomials of degree $2i$. Therefore by Bezout's theorem, $N + 1 \leq 4 \cdot 6 \cdot 10 = 240$. Hence, N being a multiple of 120, must equal 120. This proves $F = k(T_1, T_2, T_3)$.

3.4. The Ring of Invariants of $GL_2(k)$ in $k[A_0, \dots, A_6, I_{10}^{-1}]$.

Theorem 3.12. $\mathcal{R} = k[U_1, U_2, \dots, U_8]$ is the ring of $GL_2(k)$ -invariants in $k[A_0, \dots, A_6, I_{10}^{-1}]$.

Proof. Let $\mathcal{R}_0 = k[A_0, \dots, A_6, I_{10}^{-1}]^{GL_2(k)}$. If $\frac{R}{S} \in \mathcal{R}_0$ with R and S coprime polynomials, then R and S are homogeneous elements of same degree in \mathcal{R}_6 by Remark 3.10. Since S

divides I_{10}^e (for some e) in $k[A_0, \dots, A_6]$, we have $SS' = I_{10}^e$ with $S' \in \mathcal{R}_6$. Thus $\frac{R}{S} = \frac{RS'}{I_{10}^e} = \frac{I}{I_{10}^e}$ with $I \in \mathcal{R}_6$.

We have $\mathcal{R}_0 \subset K$. By Theorem 3.9 we know K is the field of fractions of \mathcal{R} . By Lemma 3.8 we know \mathcal{R} is normal. Since $\mathcal{R} \subseteq \mathcal{R}_0 \subset K$, it only remains to prove \mathcal{R}_0 is integral over \mathcal{R} . Let $u \in \mathcal{R}_0$. Then by the preceding paragraph, $u = \frac{I}{I_{10}^e}$ with $I \in \mathcal{R}_6$. Thus $\deg(I) = 10e$. Lemma 3.4 implies we have an equation

$$I^n + p_{n-1}I^{n-1} + \dots + p_0 = 0$$

where $p_i \in k[I_2, \dots, I_{10}]$. By dropping all terms of $\text{degree} \neq \deg(I^n)$, we may assume p_i are homogeneous. Dividing by I_{10}^n we have

$$u^n + \frac{p_{n-1}}{I_{10}^e} u^{n-1} + \dots + \frac{p_0}{I_{10}^n} = 0$$

where the coefficients lie in \mathcal{R} by Lemma 3.7. This proves \mathcal{R}_0 is integral over \mathcal{R} . \square

Corollary 3.13. (Clebsch-Bolza-Igusa) *Two binary sextics f and g with $I_{10} \neq 0$ are $GL_2(k)$ conjugate if and only if there exists an $r \neq 0$ in k such that for every $i = 1, 2, 3, 5$ we have*

$$(21) \quad I_{2i}(f) = r^{2i} I_{2i}(g)$$

Proof. The only if part is clear. Now assume Eq. (21) holds. First note that we can assume the sextics to be of the form $f(X, Y) = XY(X - Y)(X - a_1Y)(X - a_2Y)(X - a_3Y)$ and $g(X, Y) = XY(X - Y)(X - b_1Y)(X - b_2Y)(X - b_3Y)$ because every element in \mathcal{A} is $GL_2(k)$ conjugate to a element in \mathcal{B} . Now suppose that they are not $GL_2(k)$ conjugate. Then $\mathbf{a} := (a_1, a_2, a_3)$ and $\mathbf{b} := (b_1, b_2, b_3)$ belong to different S_6 orbits on \mathcal{C} and these orbits are finite subsets of k^3 . Therefore there exists a polynomial $p(C_1, C_2, C_3)$ such that for all $\tau \in S_6$, we have $p(\mathbf{a}^\tau) = 0$ and $p(\mathbf{b}^\tau) = 1$. Consider the element $s(C_1, C_2, C_3) \in k[\mathcal{C}] = k[C_1, C_2, C_3, \frac{1}{C_i}, \frac{1}{C_i-1}, \frac{1}{C_i-C_j}]$ ($i, j = 1, 2, 3$ and $i \neq j$) given as

$$s = \frac{1}{|S_6|} \sum_{\tau \in S_6} p((C_1, C_2, C_3)^\tau).$$

Then s takes the value 0 on \mathbf{a} and 1 on \mathbf{b} . Clearly $s \in F = k(T_1, T_2, T_3) = k(U_1, U_2, U_3)$. Let q be a rational function in the S_6 orbit of p . Then from the explicit formulas for the S_6 action described earlier, we see that the denominator of q is a product of the factors $C_i, C_i - 1, C_i - C_j$ for all $i, j = 1, 2, 3$ and $i \neq j$.

The sum $Q = \sum_{\sigma \in S_3} q((C_1, C_2, C_3)^\sigma)$ can be written as a quotient of two symmetric polynomials in C_1, C_2, C_3 . The denominator is a product of factors mentioned in the previous paragraph and hence divides a power of $J_{10}(1, B_1, B_2, B_3)$ in the ring $k[B_1, B_2, B_3]$; this is because $J_{10}(1, B_1, B_2, B_3)$ factors in $k[C_1, C_2, C_3]$ as

$$C_1^2 C_2^2 C_3^2 (C_1 - 1)^2 (C_2 - 1)^2 (C_3 - 1)^2 (C_1 - C_2)^2 (C_2 - C_3)^2 (C_3 - C_1)^2.$$

Thus $Q \in k[B_1, B_2, B_3, J_{10}^{-1}]$ and hence $s \in k[B_1, B_2, B_3, J_{10}^{-1}]$.

Since $K = k(A_0, \dots, A_6)^{GL_2(k)} \cong k(C_1, C_2, C_3)^{S_6} = F$ by Theorem 3.9, the inverse image of s in K is a rational function in A_0, \dots, A_6 which is defined at each point of \mathcal{B} by the previous paragraph. Thus it is defined at each point of \mathcal{A} because it is $GL_2(k)$ -invariant. Therefore it lies in $k[\mathcal{A}]^{GL_2(k)} = k[A_0, \dots, A_6, I_{10}^{-1}]^{GL_2(k)} = \mathcal{R}$. But $\mathcal{R} = k[U_1, \dots, U_8]$ by Theorem 4. On the other hand Eq. (21) implies that each U_i takes the same value on f and g . This implies s takes the same value on \mathbf{a} and \mathbf{b} , contradicting $s(\mathbf{a}) = 0$ and $s(\mathbf{b}) = 1$. This proves the claim. \square

4. PROJECTIVE INVARIANCE OF UNORDERED PAIRS OF BINARY CUBICS

4.1. **Null Cone of $V_3 \oplus V_3$.** In this chapter k is an algebraically closed field with $\text{char}(k) \neq 2, 3$. The Representation (see section 2.1) of $GL_2(k)$ in V_3 induces a representation of $GL_2(k)$ in $V_3 \oplus V_3$. Let $\Gamma_0 (\cong k^*)$ be the group of maps $(f, g) \mapsto (cf, c^{-1}g)$, $c \in k^*$ on $V_3 \oplus V_3$. Let Γ be the semi-direct product of Γ_0 and $\langle v \rangle$, where $v : V_3 \oplus V_3 \rightarrow V_3 \oplus V_3$ is $(f, g) \mapsto (g, f)$. Then Γ centralizes the $GL_2(k)$ action. Therefore we have an action of $GL_2(k) \times \Gamma$ on $V_3 \oplus V_3$. The coordinate ring of $V_3 \oplus V_3$ can be identified with $k[A_0, \dots, A_3, B_0, \dots, B_3]$ where A_i and B_i are coordinate functions on $V_3 \oplus V_3$. Let D_f and D_g be the discriminants of the cubics $f(X, Y) = A_0X^3 + A_1X^2Y + A_2XY^2 + A_3Y^3$ and $g(X, Y) = B_0X^3 + B_1X^2Y + B_2XY^2 + B_3Y^3$ respectively. Let R be their resultant.

This gives the following $SL_2(k) \times \Gamma_0$ -invariants in $k[A_0, \dots, A_3, B_0, \dots, B_3]$ of degree 4, 6 and 8 respectively. $I = I_2(fg)$, R and $D = D_f D_g$. Further the skew symmetric form on V_3 yields a $SL_2(k) \times \Gamma_0$ -invariant H of degree 2. These are listed below.

$$\begin{aligned}
H &= 3A_0B_3 - A_1B_2 + A_2B_1 - 3A_3B_0 \\
I &= 228A_0B_0A_3B_3 - 52A_1B_0A_3B_2 - 24A_1B_0A_2B_3 - 24A_0B_1A_3B_2 - 52A_0B_1A_2B_3 \\
&\quad + 4A_2B_0A_3B_1 + 16A_2^2B_0B_2 + 16A_1B_1^2A_3 + 4A_1B_1A_2B_2 + 16A_1^2B_1B_3 \\
&\quad + 16A_0B_2^2A_2 + 4A_0B_2A_1B_3 - 6A_3^2B_0^2 - 6A_2^2B_1^2 - 6A_1^2B_2^2 - 6A_0^2B_3^2 \\
R &= 3B_0^2A_0B_3A_3^2 - B_0^3A_3^3 + 2B_0^2A_3^2B_2A_1 - B_2^2B_0A_1^2A_3 - A_0^2B_2^3A_3 \\
&\quad + B_0^2A_2B_1A_3^2 - B_0^2A_2^2B_2A_3 - B_1^2B_0A_1A_3^2 + A_0B_1^3A_3^2 - 3B_0A_0^2B_2^3A_3 \\
(22) \quad &- B_0A_1^3B_3^2 + A_0^3B_3^3 + B_0^2A_2^3B_3 - B_0A_0B_3B_2A_1A_3 + 3A_0^2B_3B_2B_1A_3 + B_0A_3B_3A_0B_1A_2 \\
&\quad + 3B_0A_0B_3^2A_1A_2 - 2A_0^2B_3^2B_1A_2 - 3B_0A_3^2B_2A_0B_1 - 3B_0^2A_3B_3A_1A_2 - B_2A_1A_0^2B_3^2 \\
&\quad + B_2^2A_1A_0B_1A_3 + B_2B_0A_1^2B_3A_2 - B_2A_1B_3A_0B_1A_2 + A_0^2B_2^2A_2B_3 + 2B_0A_0B_2^2A_2A_3 \\
&\quad - 2B_0A_0B_2B_3A_2^2 - 2B_1^2A_1A_3A_0B_3 + B_1A_1^2B_3^2A_0 + 2B_1B_0A_1^2B_3A_3 + B_1B_0A_1B_2A_2A_3 \\
&\quad - B_1B_0A_1B_3A_2^2 - A_0B_1^2B_2A_2A_3 + A_0B_1^2B_3A_2^2 \\
D &= (-27A_0^2A_3^2 + 18A_0A_3A_2A_1 + A_1^2A_2^2 - 4A_1^3A_3 - 4A_2^3A_0)(-27B_0^2B_3^2 + 18B_0B_3B_2B_1 \\
&\quad + B_1^2B_2^2 - 4B_1^3B_3 - 4B_2^3B_0)
\end{aligned}$$

Note that R and H change by a sign if the cubics are switched (i.e., they are not v -invariant) but I and D are v -invariant.

Definition 4.1. Let $\mathcal{R}_{(3,3)}$ denote the ring of $SL_2(k) \times \Gamma_0$ -invariants in

$$k[A_0, \dots, A_3, B_0, \dots, B_3].$$

The null cone $\mathcal{N}_{(3,3)}$ is the common zero set of all homogeneous elements of positive degree in $\mathcal{R}_{(3,3)}$

Lemma 4.2. (i). Let $f, g \in V_3$. Then $fg = 0$ or has a root of multiplicity at least 4 if and only if D, R, H and I vanish simultaneously on the pair (f, g) .

(ii). The null cone $\mathcal{N}_{(3,3)}$ is the common zero set of D, R, I and H .

(iii). $\mathcal{R}_{(3,3)}$ is finitely generated as a module over $k[D, R, H, I]$.

Proof. (i) If fg has a root of multiplicity four then f and g must have a common root. Therefore $R = 0$. Moreover this common root must be of multiplicity at least 2 in either f or g and hence $D = 0$. Also from Lemma 3.3 we know $I = 0$. One also checks that $H = 0$. Conversely, let $D = R = H = I = 0$. Recall $D = D_f D_g$ where D_f and D_g are discriminants

of f and g respectively. We may assume $f \neq 0 \neq g$. Say $D_f = 0$. Then we may assume $f = X^3$ or X^2Y .

Case(1): $f = X^3$. Since $R = 0$, we get X divides g and hence X^4 divides fg .

Case(2): $f = X^2Y$. Since $R = 0$, either X or Y divides g . Thus $g = X(aX^2 + bXY + cY^2)$ or $g = Y(aX^2 + bXY + cY^2)$.

(2a): Let $g = X(aX^2 + bXY + cY^2)$. Then $H = -c$. Therefore $H = 0$ implies $c = 0$ and hence X^4 divides fg .

(2b): Let $g = Y(aX^2 + bXY + cY^2)$. Then $H = -b$ and $I = 16ac$. Therefore $H = I = 0$ implies $a = b = 0$ or $b = c = 0$ and hence Y^4 divides fg or X^4 divides fg .

(ii) : Suppose $I \in \mathcal{R}_{(3,3)}$ is homogeneous of degree $s > 0$. We know $I(f, g) = I(cf, c^{-1}g)$ for every $c \in k^*$. Then $I(f, 0) = I(cf, 0)$ for every $c \in k^*$, so $I(f, 0)$ viewed as a polynomial in A_0, \dots, A_3 is constant and hence is 0 (by taking $f = 0$). Rest is as in Lemma 3.3.

(iii) : The claim follows because the analogue of Theorem 2.7 holds here (with the same proof). □

Remark 4.3. (a) Since $V_3 \oplus V_3 / SL_2(k) \times \Gamma_0$ is a 4 dimensional variety, Lemma 4.2 (iii) implies D, R, H and I are algebraically independent over k .

(b) The quotient of two homogeneous elements in $\mathcal{R}_{(3,3)}$ of the same degree is $GL_2(k) \times \Gamma$ -invariant if and only if it is v -invariant. In particular the following elements are $GL_2(k) \times \Gamma$ -invariants.

$$R_1 := \frac{H^2}{I}, \quad R_2 := \frac{H^3}{R}, \quad R_3 := \frac{H^4}{D}$$

(c) Assertion (a) implies R_1, R_2, R_3 are algebraically independent over k . The proof of this fact is similar to Remark 3.5 (c) (for $\frac{1}{R_1}, \frac{1}{R_2}, \frac{1}{R_3}$).

Further define the following

$$(23) \quad \begin{aligned} V_1 &:= \frac{IH}{R} = \frac{R_2}{R_1}, & V_2 &:= \frac{H^3}{R} = R_2, & V_3 &:= \frac{H^4}{D} = R_3, \\ V_4 &:= \frac{I^2}{D} = \frac{R_3}{R_1^2}, & V_5 &:= \frac{I^3}{R^2} = \frac{R_2^2}{R_1^3}, & V_6 &:= \frac{IH^2}{D} = \frac{R_3}{R_1} \end{aligned}$$

Remark 4.4. The definitions of V_1, V_2, V_3 imply $k(R_1, R_2, R_3) = k(V_1, V_2, V_3)$. Therefore V_1, V_2 and V_3 are also algebraically independent over k .

Lemma 4.5. Let a, b, c and d be non-negative integers such that $a + 2b = 3c + 4d$. Then $\mathbf{m} = \frac{H^a I^b}{R^c D^d} \in k[V_1, V_2, \dots, V_6]$.

Proof. Extracting powers of V_2 and V_3 we may assume $a \leq 3$ and extracting powers of V_4 and V_5 we may assume $b \leq 1$. This gives six possibilities for the pair (a, b) and this leads to V_1, \dots, V_6 . □

Lemma 4.6. The ring $\mathcal{S} = k[V_1, V_2, V_3, V_4, V_5, V_6]$ is normal.

Proof. Suppose an element U in the field of fractions of \mathcal{S} is integral over \mathcal{S} . Then we have an equation.

$$(24) \quad U^n + p_{n-1}(V_1, \dots, V_6)U^{n-1} + \dots + p_0(V_1, \dots, V_6) = 0$$

where p_i are polynomials in 6 variables over k . Let e be a positive integer such that $(RD)^e p_i \in k[H, I, R, D]$. Then multiplying the above equation by $(RD)^{en}$, we see that $(RD)^e U$ is integral over $k[H, I, R, D]$. By Remark 4.3, (a) we know that $k[H, I, R, D]$ is

a polynomial ring. Also the field of fractions of \mathcal{S} is contained in $k(H, I, R, D)$. Therefore $(RD)^e U \in k[H, I, R, D]$. Lemma 4.5 implies $U \in \mathcal{S}$. \square

4.2. The Field of Invariants of $GL_2(k) \times \Gamma$ in $k(A_0, \dots, A_3, B_0, \dots, B_3)$.

Theorem 4.7. *The field L of $GL_2(k) \times \Gamma$ -invariants in $k(A_0, \dots, A_3, B_0, \dots, B_3)$ is a rational function field, namely $L = k(R_1, R_2, R_3) = k(V_1, V_2, V_3)$.*

By Remark 4.4 we only have to show $L = k(R_1, R_2, R_3)$. The rest of this section occupies the proof.

Remark 4.8. If $\frac{T}{S} \in L$ with T and S coprime polynomials, then it follows as in Remark 3.10 that $T = c_g T^g$, $S = c_g S^g$ for every $g \in GL_2(k) \times \Gamma$ and $c_g = 1$ for $g \in SL_2(k) \times \Gamma_0$, the commutator subgroup of $GL_2(k) \times \Gamma$. Thus $T, S \in \mathcal{R}_{(3,3)}$. Further T and S are homogeneous of the same degree.

We introduce the following notations.

$$(25) \quad \begin{aligned} \mathcal{A} &:= \{(f, g) \in V_3 \oplus V_3 : R(f, g) \cdot D(f, g) \neq 0\} \\ \mathcal{B} &:= \{(XY(X-Y), f_3) : f_3 = X^3 + b_1 X^2 Y + b_2 XY^2 + b_3 Y^3 \\ &= (X - c_1 Y)(X - c_2 Y)(X - c_3 Y), (0, 1, \infty, c_1, c_2, c_3) \in \mathcal{C}\} \end{aligned}$$

Let B_i be functions on \mathcal{B} mapping $(XY(X-Y), X^3 Y + b_1 X^2 Y + b_2 XY^2 + b_3 Y^3) \mapsto b_i$. Then $k(\mathcal{B}) = k(B_1, B_2, B_3) \subset k(\mathcal{C})$. Let M denote the fixed field of the action of $(S_3 \times S_3) \rtimes \mathbb{Z}_2 = S_3 \wr \mathbb{Z}_2 < S_6$ on $k(C_1, C_2, C_3)$. Here $S_3 \wr \mathbb{Z}_2$ denotes the wreath product.

$$\begin{array}{c} k(C_1, C_2, C_3) \\ \Big|_6 \\ k(B_1, B_2, B_3) \\ \Big|_{12} \\ M \end{array}$$

Lemma 4.9. *The inclusion $\mathcal{B} \subset V_3 \oplus V_3$ yields an embedding*

$$L \subseteq M \subset k(B_1, B_2, B_3).$$

Proof. Note that any $(f, g) \in V_3 \oplus V_3$ with $R(f, g) \cdot D(f, g) \neq 0$ is $GL_2(k) \times \Gamma$ -conjugate to an element in \mathcal{B} . Indeed, using $SL_2(k)$ we can move the roots of f to $(1, 0)$, $(0, 1)$ and $(1, 1)$. Then f becomes a scalar multiple of $XY(X-Y)$. Further we can replace f and g by scalar multiples because given $c \in k^*$, there are elements $\gamma_1, \gamma_2 \in GL_2(k) \times \Gamma$ such that $(f, g)^{\gamma_1} = (cf, g)$ and $(f, g)^{\gamma_2} = (f, cg)$.

If $\frac{T}{S} \in L$ with T and S coprime polynomials, then S does not vanish on \mathcal{B} by the previous paragraph. Therefore the restriction map $L \rightarrow k(\mathcal{B}) \subset k(\mathcal{C})$ is well defined. Let $I \in L$ and \bar{I} its image in $k(\mathcal{C})$. Denote $p = (0, 1, \infty, c_1, c_2, c_3) \in \mathcal{C}$ by (p_1, p_2, \dots, p_6) . For $\tau \in S_3 \wr \mathbb{Z}_2 < S_6$, we have

$$\begin{aligned} \bar{I}(p^\tau) &= \bar{I}(g(p_{\tau(1)}), \dots, g(p_{\tau(6)})) = I((X - g(p_{\tau(1)})Y)(X - g(p_{\tau(2)})Y)(X - g(p_{\tau(3)})Y), \\ &\quad (X - g(p_{\tau(4)})Y)(X - g(p_{\tau(5)})Y)(X - g(p_{\tau(6)})Y)) \\ &= I((X - p_{\tau(1)}Y)(X - p_{\tau(2)}Y)(X - p_{\tau(3)}Y), (X - p_{\tau(4)}Y)(X - p_{\tau(5)}Y)(X - p_{\tau(6)}Y)) \end{aligned}$$

for some $g \in GL_2(k)$. But $\{\tau(1), \tau(2), \tau(3)\}$ equals $\{1, 2, 3\}$ or $\{4, 5, 6\}$ and I is symmetric in f and g , it follows $\bar{I}(p^\tau) = \bar{I}(p)$. Thus $\bar{I} \in M$. \square

The evaluation of H, I, R and D on $(XY(X - Y), b_0X^3 + b_1X^2Y + b_2XY^2 + b_3Y^3)$ gives the following homogeneous polynomials of degree 1, 2, 3 and 4 respectively.

$$(26) \quad \begin{aligned} \tilde{H}(B_0, B_1, B_2, B_3) &= -(B_1 + B_2) \\ \tilde{I}(B_0, B_1, B_2, B_3) &= 24B_3B_0 + 16B_2B_0 - 4B_1B_2 + 16B_1B_3 - 6B_1^2 - 6B_2^2 \\ \tilde{R}(B_0, B_1, B_2, B_3) &= B_0B_3(B_0 + B_1 + B_2 + B_3) \\ \tilde{D}(B_0, B_1, B_2, B_3) &= -4B_0B_2^3 + B_1^2B_2^2 + 18B_0B_1B_2B_3 - 4B_1^3B_3 - 27B_0^2B_3^2 \end{aligned}$$

Thus the elements R_1, R_2 and R_3 of L embed in $k(B_1, B_2, B_3)$ as follows

$$R_1 = \frac{\tilde{H}^2(1, B_1, B_2, B_3)}{\tilde{I}(1, B_1, B_2, B_3)}, \quad R_2 = \frac{\tilde{H}^3(1, B_1, B_2, B_3)}{\tilde{R}(1, B_1, B_2, B_3)}, \quad R_3 = \frac{\tilde{H}^4(1, B_1, B_2, B_3)}{\tilde{D}(1, B_1, B_2, B_3)}.$$

Proof of Theorem 4.7. We know $k(R_1, R_2, R_3) \subseteq L \subseteq M$. The theorem follows if $M = k(R_1, R_2, R_3)$. Furthermore

$$m := [k(B_1, B_2, B_3) : k(R_1, R_2, R_3)]$$

is a multiple of $[k(B_1, B_2, B_3) : M] = 12$. Therefore the claim follows if $m = 12$. Let Λ be the algebraic closure of $k(R_1, R_2, R_3)$. Then m is the number of embeddings β of $k(B_1, B_2, B_3)$ into Λ with $\beta|_{k(R_1, R_2, R_3)} = id$. Therefore the tuples $(1, \beta(B_1), \beta(B_2), \beta(B_3))$ constitute m distinct projective solutions for the following system of homogeneous equations in S_0, S_1, S_2 and S_3 .

$$(27) \quad \begin{aligned} \tilde{H}^2(S_0, \dots, S_3) - R_1 \tilde{I}(S_0, \dots, S_3) &= 0 \\ \tilde{H}^3(S_0, \dots, S_3) - R_2 \tilde{R}(S_0, \dots, S_3) &= 0 \\ \tilde{H}^4(S_0, \dots, S_3) - R_3 \tilde{D}(S_0, \dots, S_3) &= 0 \end{aligned}$$

Besides these m solutions there is the additional solution $(0, 0, 0, 1)$. Therefore by *Bezout's* theorem, $m + 1 \leq 2 \cdot 3 \cdot 4 = 24$. Hence, m being a multiple of 12, must equal 12. This proves $L = k(R_1, R_2, R_3)$.

4.3. The Ring of $GL_2(k) \times \Gamma$ -invariants in $k[A_0, \dots, A_3, B_0, \dots, B_3, R^{-1}, D^{-1}]$. In this section we prove the following:

Theorem 4.10. $\mathcal{S} = k[V_1, V_2, \dots, V_6]$ is the ring of $GL_2(k) \times \Gamma$ -invariants in $k[A_0, \dots, A_3, B_0, \dots, B_3, R^{-1}, D^{-1}]$.

Proof. Let $\mathcal{S}_0 = k[A_0, \dots, A_3, B_0, \dots, B_3, R^{-1}, D^{-1}]^{GL_2(k) \times \Gamma}$. If $\frac{T}{S} \in \mathcal{S}_0$ with T and S coprime polynomials, then T and S are homogeneous elements of $\mathcal{R}_{(3,3)}$ of the same degree by Remark 4.8. Since S divides $(RD)^e$ (for some e) in $k[A_0, \dots, A_3, B_0, \dots, B_3]$, we have $SS' = (RD)^e$ with $S' \in \mathcal{R}_{(3,3)}$. Thus

$$\frac{T}{S} = \frac{TS'}{(RD)^e} = \frac{I}{(RD)^e}$$

with $I \in \mathcal{R}_{(3,3)}$.

We have $\mathcal{S}_0 \subset L$. Further by Theorem 4.7 we know L is the field of fractions of \mathcal{S} . By Lemma 4.6 we know \mathcal{S} is normal. Since $\mathcal{S} \subseteq \mathcal{S}_0 \subset L$, it only remains to prove \mathcal{S}_0 is integral over \mathcal{S} . Let $u \in \mathcal{S}_0$. Then by the previous paragraph $u = \frac{I}{(RD)^e}$ with $I \in \mathcal{R}_{(3,3)}$. Thus, $\deg(I) = 14e$. Lemma 4.2 (iii) implies

$$(28) \quad I^n + p_{n-1}I^{n-1} + \cdots + p_0 = 0$$

where $p_i \in k[H, I, R, D]$. By dropping all terms of $\text{degree} \neq \deg(I^n)$, we may assume p_i are homogeneous. Dividing by $(RD)^{en}$ we have

$$u^n + \frac{p_{n-1}}{(RD)^e} u^{n-1} + \cdots + \frac{p_0}{(RD)^{ne}} = 0$$

where the coefficients lie in \mathcal{S} , by Lemma 4.5. This proves \mathcal{S}_0 is integral over \mathcal{S} . \square

Corollary 4.11. *Suppose $\{P, Q\}$ and $\{P', Q'\}$ are two unordered pairs of disjoint 3-sets in \mathbb{P}^1 . They are conjugate under $PGL_2(k)$ if and only if V_1, \dots, V_6 take the same value on the two pairs.*

Corollary 4.12. *Two pairs $(f_1, f_2), (g_1, g_2) \in V_3 \oplus V_3$ with $R(f_1, f_2) \cdot D(f_1, f_2) \neq 0$ and $R(g_1, g_2) \cdot D(g_1, g_2) \neq 0$ are $GL_2(k) \times \Gamma$ -conjugate if and only if there exists an $r \neq 0$ in k such that*

$$(29) \quad \begin{aligned} H(f_1, f_2) &= r^2 H(g_1, g_2) \\ I(f_1, f_2) &= r^4 I(g_1, g_2) \\ R(f_1, f_2) &= r^6 R(g_1, g_2) \\ D(f_1, f_2) &= r^8 D(g_1, g_2) \end{aligned}$$

Proof. The only if part is clear. Now assume Eq. (29) holds. We can assume

$$f_1 = g_1 = XY(X - Y),$$

f_2 and g_2 equals $(X - \alpha_1 Y)(X - \alpha_2 Y)(X - \alpha_3 Y)$ and $(X - \beta_1 Y)(X - \beta_2 Y)(X - \beta_3 Y)$ respectively. This is because every element in \mathcal{A} is $GL_2(k) \times \Gamma$ -conjugate to an element in \mathcal{B} . Suppose they are not $GL_2(k) \times \Gamma$ -conjugate. Then $\alpha := (\alpha_1, \alpha_2, \alpha_3)$ and $\beta := (\beta_1, \beta_2, \beta_3)$ belong to different $S_3 \wr \mathbb{Z}_2$ orbits on \mathcal{C} and these orbits are finite subsets of k^3 . Therefore there exists a polynomial $p(C_1, C_2, C_3)$ such that for all $\tau \in S_3 \wr \mathbb{Z}_2$, we have $p(\alpha^\tau) = 0$ and $p(\beta^\tau) = 1$. Consider the element $t \in k[\mathcal{C}]$ given as

$$t = \frac{1}{|(S_3 \wr \mathbb{Z}_2)|} \sum_{\tau \in S_3 \wr \mathbb{Z}_2} p((C_1, C_2, C_3)^\tau)$$

Clearly $t \in M$. As in the proof of Corollary 3.13, we have

$$t \in k[B_1, B_2, B_3, J_{10}^{-1}] = k[B_1, B_2, B_3, R^{-1}, D^{-1}].$$

Since

$$L = k(A_0, \dots, A_3, B_0, \dots, B_3)^{GL_2(k) \times \Gamma} \cong k(C_1, C_2, C_3)^{S_3 \wr \mathbb{Z}_2} = M$$

by Theorem 4.7, the inverse image of t in L is a rational function in $A_0, \dots, A_3, B_0, \dots, B_3$ which is defined at each point of \mathcal{B} by the previous paragraph. Thus it is defined at each point of \mathcal{A} because it is a $GL_2(k) \times \Gamma$ -invariant. Therefore it lies in

$$k[\mathcal{A}]^{GL_2(k) \times \Gamma} = k[A_0, \dots, A_3, B_0, \dots, B_3, R^{-1}, D^{-1}]^{GL_2(k) \times \Gamma} = \mathcal{S}.$$

But $S = k[V_1, \dots, V_6]$ by Theorem 4.10. On the other hand Eq. (29) implies each V_i takes the same value on (f_1, f_2) and (g_1, g_2) . This implies t takes the same value on α and β , contradicting $t(\alpha) = 0$ and $t(\beta) = 1$. This proves the claim. \square

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